

Direct Self-Control (DSC) of Inverter-Fed Induction Machine

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Abstract—The new “direct self-control” (DSC) is a simple method of signal processing which gives converter-fed three-phase machines an excellent dynamic performance. To control the torque of, e.g., an induction motor, it is sufficient to process the measured signals of the stator currents and the total flux linkages only. Optimal performance of drive systems is accomplished in steady state as well as under transient conditions by combination of several two-limits controls. The expenses are less than in the case of proposed predictive control systems or flux acceleration method (FAM), if the converter’s switching frequency has to be kept minimal.

I. INTRODUCTION

IN MOST control strategies for three-phase motor drives it is assumed that the controllable power source can force any desired curves of currents or voltages into the stator windings [1], [2]. In reality most of the inverters in use can produce only seven discrete spacevector values of actuating variables. Usually none of these is exactly equal to the desired instantaneous value of the space vector. By the use of PWM the desired agreement can be obtained only for the mean values taken about a pulse period. By using high switching frequencies the desired curves of actuating variables can be approximated sufficiently well. However, in the field of high power applications this is not possible; for economic reasons the switching frequencies of high-power semiconductors can’t be raised above values of 200–300 Hz. Therefore it is desirable to derive the single switching commands directly from suitable control signals as, e.g., according to predictive current control [3] or improved flux acceleration method (FAM) [4].

This paper describes a recently developed direct self-control (DSC) method [5]–[7]. By consistent adoption of the strategies to guide the stator flux and to control the torque according to the switching capabilities of voltage source inverters (VSIs) we get not only very simple and robust signal processing schemes but also excellent dynamic performance even at the low switching frequencies used in the high-power electronics field.

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II. BASIC CIRCUIT AND STEADY-STATE PERFORMANCE OF INVERTER-FED THREE-PHASE MACHINES WITH DSC

The shaft speed of a three-phase machine mainly depends on the angular velocity of the rotating magnetic field. In steady state this velocity is determined by the frequency f_s of electric stator quantities; the magnitude of the magnetic field depends on the voltage to frequency ratio. Fig. 1 shows how the on-off states of the power switching elements of a three-phase VSI can be directly controlled by comparing the time integrals of its own line-to-line voltages to reference values $\pm \Psi_{ref}$. This is called “direct self-control” (DSC) for the sake of brevity. In doing so the magnitude of the magnetic field is directly determined by Ψ_{ref} . Without pulse control the frequency depends on the ratio of dc voltage $2E_d$ to Ψ_{ref} . For further simplification the time integral of a voltage will now always be called “flux,” regardless of whether the voltage is caused by variation of a flux linkage or not.

Fig. 2 shows the curves of line-to-line voltage $e_{bc} = \sqrt{3} e_{\beta a}$ of all processed fluxes $\Psi_{\beta\nu}$ ($\nu = a; b; c$), of all line-to-dc-center point voltages $e_{\nu C}$, and of line-to-neutral voltage $e_{a0} = e_{\alpha a}$. The β -fluxes are proportional to the time integrals of line-to-line voltages; α -signals correspond to line-to-neutral quantities. From Fig. 2 it can be seen that the following equations hold in steady state:

$$\hat{\Psi}_{\beta} - \check{\Psi}_{\beta} = 2\Psi_{ref} = 2E_d/\sqrt{3} \cdot T_s/3$$

$$f_s = \frac{1}{6} \cdot \frac{2E_d/\sqrt{3}}{\Psi_{ref}} \quad (1)$$

$$\hat{\Psi}_f = 2/\sqrt{3} \cdot 9/\pi^2 \cdot \Psi_{ref} = 1,05 \cdot \Psi_{ref} \quad (2)$$

The curves show that the deviations of the real fluxes $\Psi_{\beta\nu}$ from their fundamental components $\Psi_{f\nu}$ are so small that the three-phase machine with DSC in steady state will have nearly the same performance as one being line supplied by sinusoidal voltages. In order to get nominal flux, $\hat{\Psi}_{f0}$, the corresponding value of Ψ_{ref} can be derived from (2). The speed of shaft rotation can be controlled by variation of the dc voltage $2E_d$ according to (1). To raise the speed above the highest possible value at full dc voltage and full flux the magnitude of flux can be weakened by decreasing Ψ_{ref} . If all terminals of the machine are connected simultaneously to one of the two dc terminals by

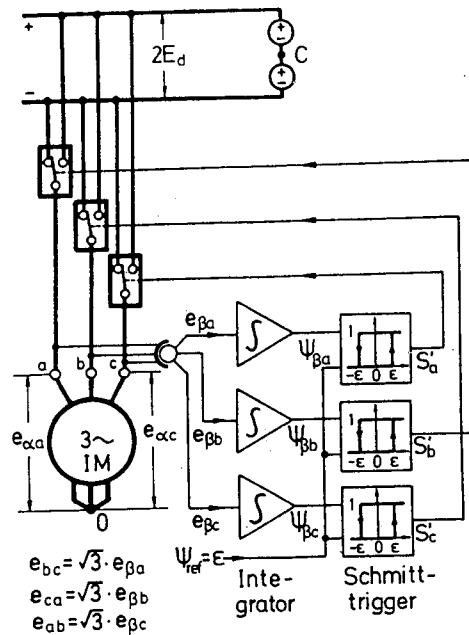


Fig. 1. Basic scheme of DSC.

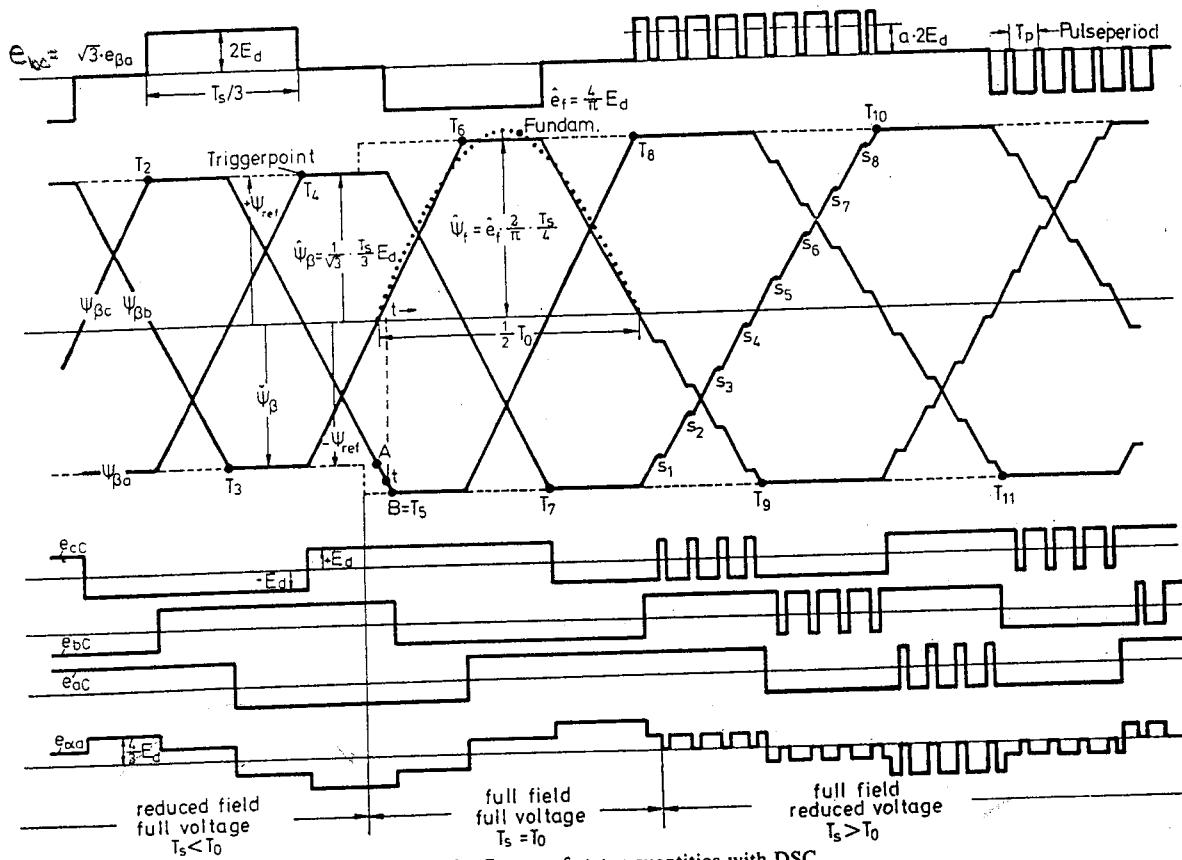


Fig. 2. Curves of stator quantities with DSC.

the inverter, all terminal voltages of the machine will be zero. This can be done in addition to the normal switchings caused by the Schmitt triggers via a single modulating device, as described later. In this way, instead of controlling $2E_d$, the terminal voltages of the three-phase

machine can be reduced by PWM as shown in the right portion of Fig. 2. If the pulse period T_p is short relative to the smallest time constant of the machine, a very simple feed-forward control is possible.

The dynamic properties of DSC can be represented by

lines $\alpha'_a, \alpha'_b, \alpha'_c$, by

$$\vec{\Psi}_{\mu f} = -j \cdot \hat{\Psi}_{\mu f} \cdot e^{j\omega_r t} \quad (5)$$

With magnitude $\hat{\Psi}_{\mu f}$ being constant we get the space vector \vec{e}_μ of voltages across the three series connections of L_σ and R'_r from

$$\begin{aligned} \vec{e}_\mu &= \dot{\vec{\Psi}}_{\mu f} = j\omega_r \cdot \vec{\Psi}_{\mu f} = \omega_r \cdot \hat{\Psi}_{\mu f} \cdot e^{j\omega_r t} \\ \hat{e}_0 &= \omega_0 \cdot \hat{\Psi}_{f0} \quad (\text{nominal values}). \end{aligned} \quad (6)$$

We get all line-to-neutral values of rotor quantities by projection of the corresponding space vectors on the projection lines $\alpha'_a, \alpha'_b, \alpha'_c$. Under sinusoidal conditions at constant angular slip frequency ω_r , the track curves relative to the projection lines of all space vectors are circles, which are traversed counterclockwise with identical angular speed ω_r . If we rotate the projection lines clockwise with angular speed ω_r in the complex plane, all space vectors assume fixed positions; we get the well-known phasor representation of induction machine quantities. If the angular frequency ω_r is varied, the Heyland circle shown in Fig. 5 is obtained, which is the locus curve of the space vectors $\vec{i}_s, \vec{i}_r = \vec{\Psi}_\sigma/L_\sigma$, and $\vec{\Psi}_r/L_\sigma = (\vec{\Psi}_\mu - \vec{\Psi}_\sigma)/L_\sigma$. The rotor fluxes Ψ_{rv} can be explained as the time integrals of voltages $i_{rv} \cdot R'_r$, similar to Ψ_{sv} being the time integrals of voltages $L_\sigma \cdot i_{rv}$. From the flux angle ϑ we get the relative rotor frequency n_r by

$$n_r = \omega_r/\omega_K = \tan \vartheta, \quad \omega_K = R'_r/L_\sigma = 1/T_\sigma, \quad (7)$$

ω_K being the angular rotor frequency at breakdown point K . The space vectors of the stator and rotor currents only lie on the circular locus curve shown in Fig. 5 during steady-state operation with a constant magnitude $|\vec{\Psi}_\mu|$ of the resulting flux and at constant angular rotor frequency ω_r . Every other point of the current space vector plane corresponds to values of the two linearly independent state quantities of the three rotor currents, which are in principle also possible under dynamic conditions. If we, e.g., choose a value of the space vector \vec{e}_r according to (6) with a value ω_{rP} of the rotor frequency, which results in steady-state operation in the rotor currents determined by the point P on the current locus curve, then the transition into the associated periodic operating condition can be readily described from any starting condition at $t = 0$. The starting state for $t = 0$ is characterized in Fig. 5 by point S . At this point in time the differences between the instantaneous rotor currents and the rotor currents, which would flow under stationary conditions at this point in time, are represented by their space vector $\vec{i}_{PS}(0)$. The projections of this space vector onto the rotating axes $\alpha'_a, \alpha'_b, \alpha'_c$ at time $t = 0$ furnish the starting values of the transient components of the currents. All three of them decay to zero with the same time constant $T_\sigma = L_\sigma/R'_r$. Considered relative to the rotating axes, the space vector $\vec{i}_{PS}(t)$ retains its direction, since all three transient components of rotor currents change so uniformly that their ratios remain unchanged. Only the magnitude $|\vec{i}_{PS}(t)|$ is decreasing with

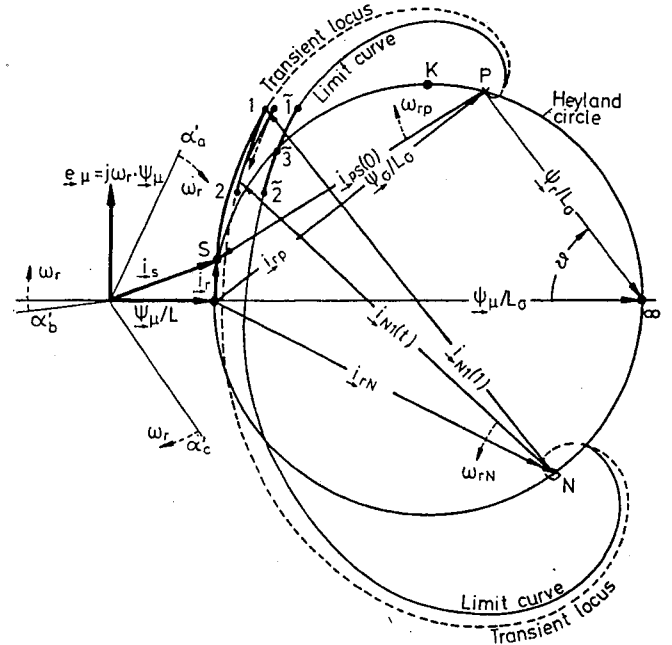


Fig. 5. Steady-state and transient locus curves of space vector quantities of induction machine.

the time constant T_σ . If the transient space vector $\vec{i}_{PS}(t)$ is considered relative to the locus curve circle, which is stationary in Fig. 5, a space vector rotating clockwise with the constant angular velocity ω_{rP} is obtained with a magnitude which is decaying to zero with the time constant T_σ . The track curve of the transient space vector $\vec{i}_{PS}(t)$ is therefore a spiral, of which the convergence center is at point P .

As shown in Fig. 5, the component of $\vec{i}_r(t)$, which is orthogonal to $\vec{\Psi}_\mu$, and therefore the torque of the machine, grows very fast after $t = 0$ because $\omega_{rP} > \omega_K$. If the stator voltage is changed, e.g., to zero upon reaching point 1 on the dynamic track curve the angular rotor frequency shall assume the large negative value ω_{rN} . The stationary point N on the locus curve circle is associated with this new angular rotor frequency. This point is now the center of convergence of the new dynamic space vector $\vec{i}_{N1}(t)$, of which the magnitude also decreases exponentially with the time constant T_σ . However, the space vector $\vec{i}_{N1}(t)$ rotates counterclockwise with the angular velocity $|\omega_{rN}|$, because the projection axes $\alpha'_a, \alpha'_b, \alpha'_c$ now also rotate in this sense. As may be seen directly, the torque of the machine now decreases very quickly. If the angular rotor frequency is again made equal to ω_{rP} at point 2 of the new dynamic track spiral, the initially described processes are repeated with somewhat larger overall values of that component of the rotor current space vector \vec{i}_r lying in the direction of $\vec{\Psi}_\mu$. If the method of switching between the angular rotor frequencies ω_{rP} and ω_{rN} is continued in the manner described above each time the same upper or lower torque value is reached, a stationary limit cycle with the limit transient track curves shown in Fig. 5 is obtained. The starting and ending points of the cyclically traversed sections of both transient track spirals co-

incide and are designated in Fig. 5 by symbols $\bar{1}$ and $\bar{2}$. The sections of the two transient locus curves located between these two points are so close together that no difference can be noted in Fig. 5. Point $\bar{3}$ is associated with the fundamentals of the three rotor currents, and it lies on the circular locus curve.

An infinite number of transient spirals lead to each center of convergence P of the Heyland circle. If we choose a starting point S lying on the Heyland circle at a step change of slip ($t = 0$), then, e.g., the flux-angle ϑ_S is a suitable parameter to differ between all spirals leading to P . If we refer fluxes to nominal value $\hat{\Psi}_{f0}$ and currents to $\hat{\Psi}_{f0}/L_\sigma$, we get the per-unit (pu) quantities $\psi_s \dots$ and $y \dots$. Considering the transient space vector $\vec{\Psi}_{PS}$ with its tail fixed to point P , and its head passing point S at $t = 0$, we get the equations

$$\begin{aligned} \vec{\Psi}_{PS} &= \vec{y}_{PS} = \vec{y}_{PS}(0) \cdot \exp(-t/T_\sigma) \cdot \exp(-j\omega_r t) \\ \vec{y}_{PS}(0) &= \sin \vartheta_S \cdot \exp(-j\vartheta_S) - \sin \vartheta_P \exp(-j\vartheta_P) \\ &= \sin(\vartheta_S - \vartheta_P) \cdot \exp[-j(\vartheta_S + \vartheta_P)]. \end{aligned} \quad (8)$$

When $\vec{\Psi}_{PS}$ crosses the Heyland circle in point S , the direction of the transient track curve is equal to the direction of $\vec{\Psi}_{oS}$; this means the magnitude of space vector $\vec{\Psi}_r(t \approx 0)$ keeps unchanged near point S . Angular speed of $\vec{\Psi}_r(t \approx 0)$ relative to space vector $\vec{\Psi}_\mu$ of total fluxes is, at this point,

$$\dot{\vartheta}(t \approx 0) = \Delta\omega_{rS} = \omega_{rP} - \omega_{rS}. \quad (9)$$

Based on this understanding of response to step change of slip, for simplicity results have been derived in the rotor fixed reference frame, a very simple direct two-limit control of torque can be established and this in the stator fixed frame ($\omega^* = 0$) to avoid the coordinate transformations usually needed in field-oriented controls.

IV. DIRECT TWO-LIMIT CONTROL OF TORQUE

Fig. 6 shows the basic circuit of a direct two-limit control of torque and of superimposed speed control. The electromagnetic torque T_q of a rotating field machine can be calculated by the following relation, all quantities corresponding to the stator fixed reference frame ($\omega^* \equiv 0$):

$$T_q = 1,5(\Psi_{\alpha\alpha} \cdot i_{\beta\alpha} - \Psi_{\beta\alpha} \cdot i_{\alpha\alpha}). \quad (10)$$

In the expanded signal processing structure shown in Fig. 6 this quantity, calculated by the torque computing unit TC, is compared with the torque reference value T_{ref} in the Schmitt trigger ST_T . Within the full-flux speed range a simple control works as follows: If the torque T_q exceeds its reference value by more than chosen tolerance value ϵ_T , then zero voltages are switched on to the motor as long as the torque T_q underpasses T_{ref} by more than ϵ_T . If this happens, full voltage is switched on to the machine, the direction of the corresponding space vector is determined by the fluxes-comparing Schmitt triggers ST_Ψ , as shown in detail before. In this state the electronic signal select ESS connects the output signals S'_a, S'_b, S'_c of Schmitt triggers ST_Ψ directly to the control outputs S_a, S_b, S_c as symbolically shown in Fig. 6. When zero voltages

have to be switched on to the machine, a common signal S_z is connected simultaneously to all three control outputs by means of the ESS. The two possible values of S_z are chosen by the zero select unit ZS such that secondary conditions can be met, concerning, e.g., minimum switching frequency, allowed minimum duration of switching states, etc.

At lower values of stator frequency f_s the difference between $\vec{\Psi}_s$ and the space vector of total flux linkages $\vec{\Psi}_\mu$ cannot be neglected, since $|\vec{e}_\mu|$ decreases proportional to f_s and therefore $|\vec{i}_s \cdot R_s|$ gets more and more influence. Because $|\vec{\Psi}_\mu|$ should be kept, as far as possible, independent of f_s or \vec{i}_s in the expanded signal processing structure shown by Fig. 6, the quantity $(\vec{e}_s - \vec{i}_s R_s) = \vec{e}_\mu$ is integrated to get the needed signals $\Psi_{\alpha\alpha}$ and $\Psi_{\beta\alpha}$. By means of simple algebraic calculations from these quantities the two additional needed input signals $\Psi_{\beta\beta}$ and $\Psi_{\beta c}$ of Schmitt triggers ST_Ψ are determined by the coordinate transformation unit CT. This kind of signal processing works sufficiently well down to values of frequency f_s near to the nominal value of the slip frequency. Fig. 7 is taken from a CRT display showing the track curve of space vector $\vec{\Psi}_\mu$ at zero r/min of shaft ($\omega = 0$) and at about nominal value of torque (inner trace) in comparison to the track curve measured at about half nominal speed. By self-control under load conditions the track curve turns relative to the no-load curve as far as the voltages of the inverter get the needed components orthogonal to the track curve to cancel the decreasing influence of $\vec{i}_s \cdot R_s$ on the magnitude of flux. If the drive is to work under conditions which lead to values of stator frequencies clearly below the nominal value of slip frequency, additional control of $|\vec{\Psi}_\mu|$ has to be provided [6], and instead of integration of induced voltages, other known methods have to be applied to determine total flux linkages. The measured response of torque of a 66 kW motor due to large and small step changes of its reference value is given later in Fig. 9(a).

At low speeds of shaft, such as in the range below 30 percent of the highest speed at full field, the dynamic performance can be further improved in the case of large control error ΔT_q caused by suddenly decreasing reference value T_{ref} . In this case, instead of the space vector of voltages having zero magnitude, the space vector $\vec{e}_s = -1 \cdot \vec{e}'_s$ can be switched on, \vec{e}'_s being the space vector selected by the Schmitt triggers at counterclockwise movement of $\vec{\Psi}_\mu$. Now the space vector $\vec{\Psi}_\mu$ not only stops but it moves along the track curve, which it previously traversed counterclockwise, with full speed in the opposite direction. By this, even at very low speed of shaft, large negative values of angular rotor frequency ω_r can be achieved, which are necessary if the torque is to be decreased very fast. If the demands concerning steady state and dynamic quality of speed control are moderate, a very simple signal processing without equipment for direct measuring of shaft speed is possible, as shown in Fig. 6. At constant dc voltage the averaged output voltage of Schmitt trigger ST_T is proportional to mean value $\bar{\omega}_s$ of the angular stator frequency. By means of algebraic calculations from the torque reference value the correspond-

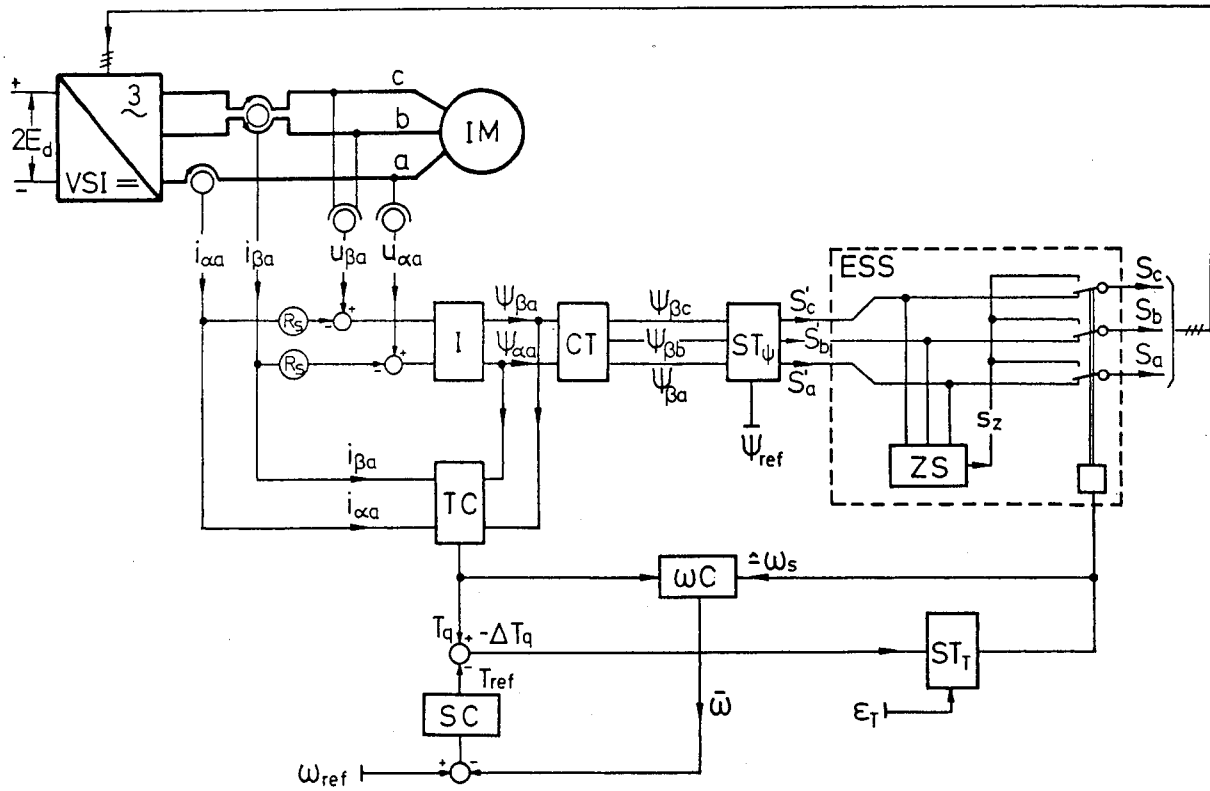
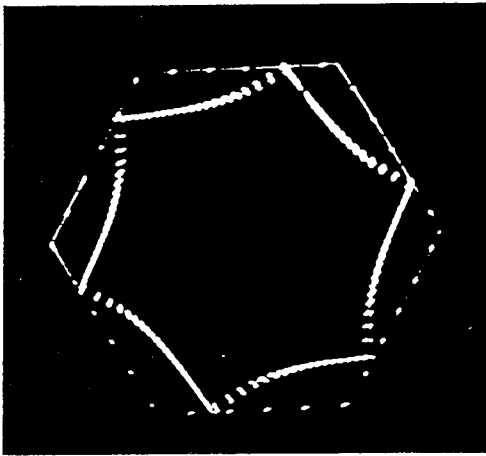


Fig. 6. Extended scheme of signal processing with DSC.

I	Integrator	ZS	Zero State Select
ST	Schmitt Trigger	TC	Torque Calculator
CT	Coordinate Transformation	ωC	Speed Calculator
ESS	Electronic Signal Select	SC	Speed Controller


 Fig. 7. Track curves of space vector $\vec{\Psi}_s$. Inner trace: $\omega = 0, T_q = T_{qk}/2$. Outer trace: $\omega \approx \omega_0/2, T_q = 0$.

ing mean value $\bar{\omega}_r$ of the angular rotor frequency can be determined. $\bar{\omega}_s - \bar{\omega}_r = \bar{\omega}$ is the quantity to be compared to reference value ω_{ref} . The output of speed controller SC gives the torque reference value T_{ref} .

V. TORQUE RESPONSE IN THE FIELD WEAKENING RANGE

If the inverter produces its highest possible output voltages, there are only the values $1 \cdots 6$ of \vec{e}_s , which have to be switched on in cyclic sequence. By this kind of operation, called fundamental frequency switching, we cannot fulfill the condition of (5) and (6). $|\vec{\Psi}_\mu|$ to be con-

stant. To investigate the dynamic behaviour of an induction machine fed by VSI in the field weakening range, therefore, the limited switching capabilities have to be fully accounted for. Furthermore, the errors caused by assuming $R_s = 0$ remain negligible; therefore we can note $\vec{\Psi}_s = \vec{\Psi}_\mu = \vec{\Psi}$. Fig. 3 shows what happens after a step change of Ψ_{ref} . After point A there is a difference $\Delta\vec{\Psi}$ between the actual space vector of fluxes $\vec{\Psi}$ and $\vec{\Psi}$, increasing linearly with time, which is the value of the space vector of fluxes we would have got at the same point in time if we had had no change of Ψ_{ref} . $\Delta\vec{\Psi}$ does not change its direction until point B, where all total fluxes are in new steady state. Space vector $\Delta\vec{\Psi}_r$ is the response of $\vec{\Psi}_r$ due to ramp change $\Delta\vec{\Psi}$ of $\vec{\Psi}$. At point B we get

$$\left. \begin{aligned} \Delta\vec{\Psi}_{rB} &= \Delta\vec{\Psi} \cdot \frac{\exp(p_r \Delta t_B - 1)}{p_r T_\sigma \cdot (p_r \Delta t_B - 1)} \\ |\Delta\vec{\Psi}| &= \frac{2}{\sqrt{3}} \cdot [\Psi_{refA} - \Psi_{refB}] \\ \Delta t_B &= t_B - t_A \end{aligned} \right\} \quad (11)$$

p_r is one of the two complex eigenvalues of the induction machine. Under the assumption $R_s = 0$ we get

$$p_s T_\sigma = 0 \quad p_r T_\sigma = -1 + jn \quad n = \omega/\omega_K \quad (12)$$

If we only change from one steady-state track curve to a new one as shown by Fig. 3, after $t = t_B$, $\vec{\Psi}$ is again in steady state. $\vec{\Psi}_{rB} = \vec{\Psi}_{rB} + \Delta\vec{\Psi}_{rB}$ being an exact known

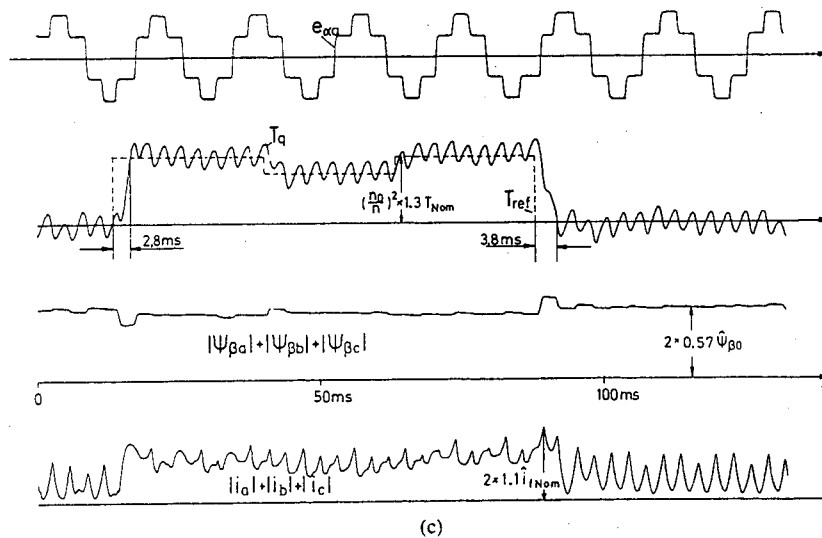
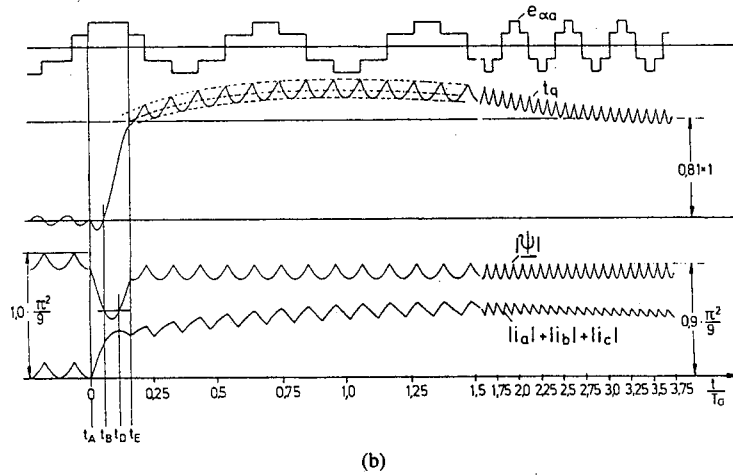
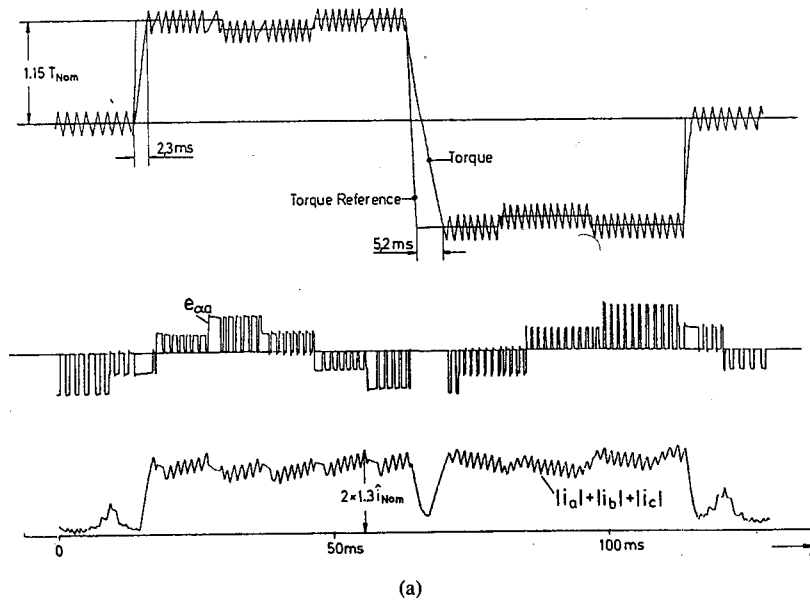


Fig. 9. Step response of induction machine with DSC.
 (a) Experimental results: $\sigma = 8.5\%$, $T_\sigma = 52.5$ ms, $n_0 = 16.5$, $n/n_0 = 0.4$, $T_\sigma/T_\sigma'' \approx 1.5$ ($T_\sigma'' \triangleq$ subtransient value).
 (b) Computer simulation: $n_0 = 9$, $n/n_0 = 1$, $t_q = T_q/T_{qK}$, $\psi = \Psi/\Psi_{f0}$.
 (c) Experimental results: $\sigma = 6.1\%$, $T_\sigma = 33.6$ ms, $n_0 = 6.1$, $n/n_0 = 2$, $T_\sigma/T_\sigma'' \approx 1.5$ ($T_\sigma'' \triangleq$ subtransient value).

limit value of torque is not yet underpassed. The track curve of a space vector of stator fluxes forms a hexagon. At a given switching frequency then the undesirable alternating component of torque becomes minimal. If at continuously switched-on full voltage the desired torque is not reached, field weakening is achieved by decreasing the reference value of flux. Dynamic properties of induction machines with DSC can be represented by response to step change of tracking speed of the flux space vector keeping the track curve constant and vice versa. If the well-known Heyland diagram is completed by simple transient locus lines, the results can be surveyed without difficulties at each point of duty. Computer simulations and experimental measurements confirm the validity of these theoretical investigations.

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NOMENCLATURE

a, b, c	Subscripts denoting names of phases.
ν	Subscript instead of $a, b,$ or c .
$\alpha \dots$	Subscripts for line-to-neutral phase quantities.
$\beta \dots$	Subscripts for line-to-line phase quantities.
ab, bc, ac	
μ, σ	Subscript for magnetizing/leakage quantities.
s, r	Subscript for stator/rotor quantities.
f	Subscript for fundamental components.
h	Subscript for harmonic distortion components.
K	Subscript for quantities at breakdown point.
0	Subscript for base values.
nom	Subscript for nominal values.
ref	Subscript for reference values.
\rightarrow	Arrow denoting space vectors, e.g., \vec{e}_s .
a	Boldface denoting complex numbers, e.g., a .
$\bar{}$	Overbar denoting mean values, e.g., $\bar{\omega}$.
\sim	Tilde denoting quantities at steady state.
\wedge, \vee	Caret/inverted caret denoting maximum/minimum values.
a	Control factor.
ϵ_T	Tolerance value of torque.
ϑ	Flux angle between $\vec{\Psi}_\mu$ and $\vec{\Psi}_r$.
ω^*	Angular speed of reference frame.
ω, n	Absolute/normalized angular frequency.
f	Frequency.

T_s	Period of stator quantities.
T_p	Pulse period.
T_σ	Rotor leakage time constant at low frequencies.
T''_σ	Rotor leakage time constant at high frequencies.
T_q, t_q	Absolute/normalized electromagnetic torque.
p_r, p_s	Complex eigenvalues.
L_μ, L_σ	Magnetizing/leakage inductance of equivalent circuit.
R_s, R'_r	Stator/rotor resistance of equivalent circuit.
σ	Leakage factor.
e	Voltage.
$2E_d$	Input dc voltage of inverter.
Ψ, ψ	Absolute/normalized flux.
i, y	Absolute/normalized current.

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